

# Interpretation of Airway Function Tests

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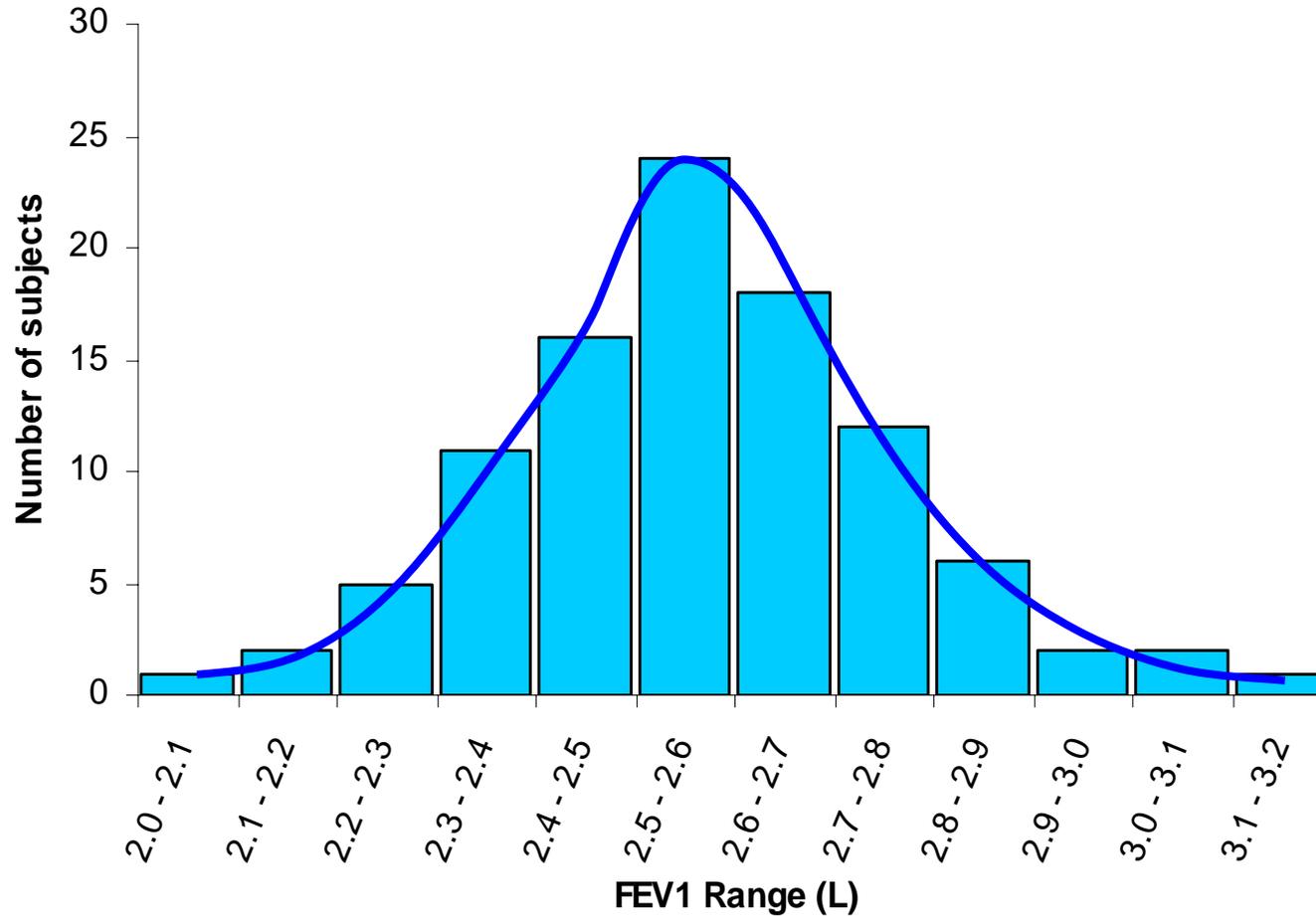


**CRFS Physiology Review Workshop, Auckland 2007**

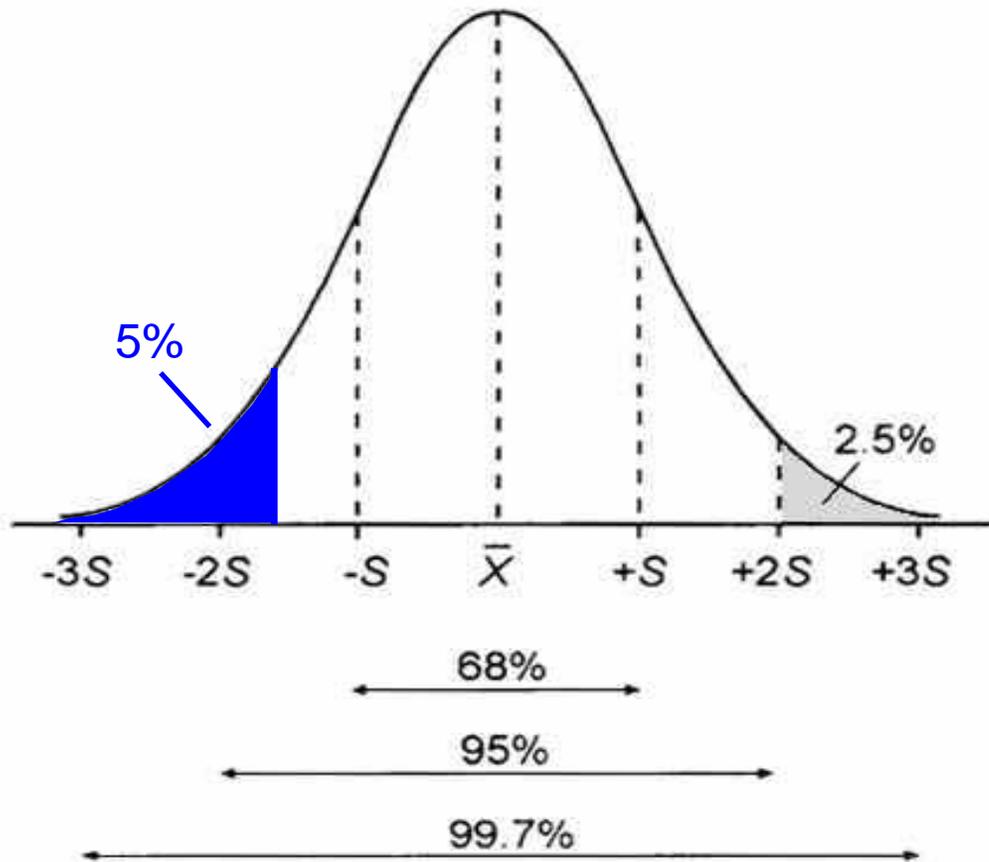
*Australian & New Zealand Society of Respiratory Science*

# Predicted values

FEV1 Histogram (100 normal females 160cm, 40yo)



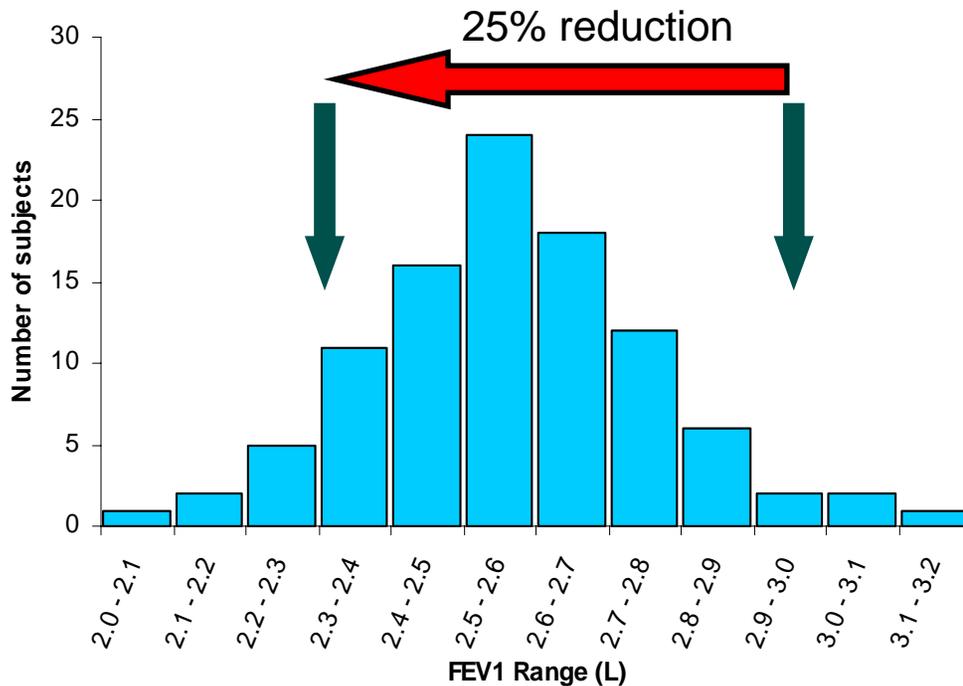
# The normal distribution



- for FEV1, disease tends to cause a reduction (plus it is probably good rather than bad to have a high FEV1)
- we therefore want to find a lower limit to the normal range
- where we select the LLN is defined by the margin of error we are happy to accept
- this is usually 5%
- this point is at  $\bar{X} - 1.64 S$

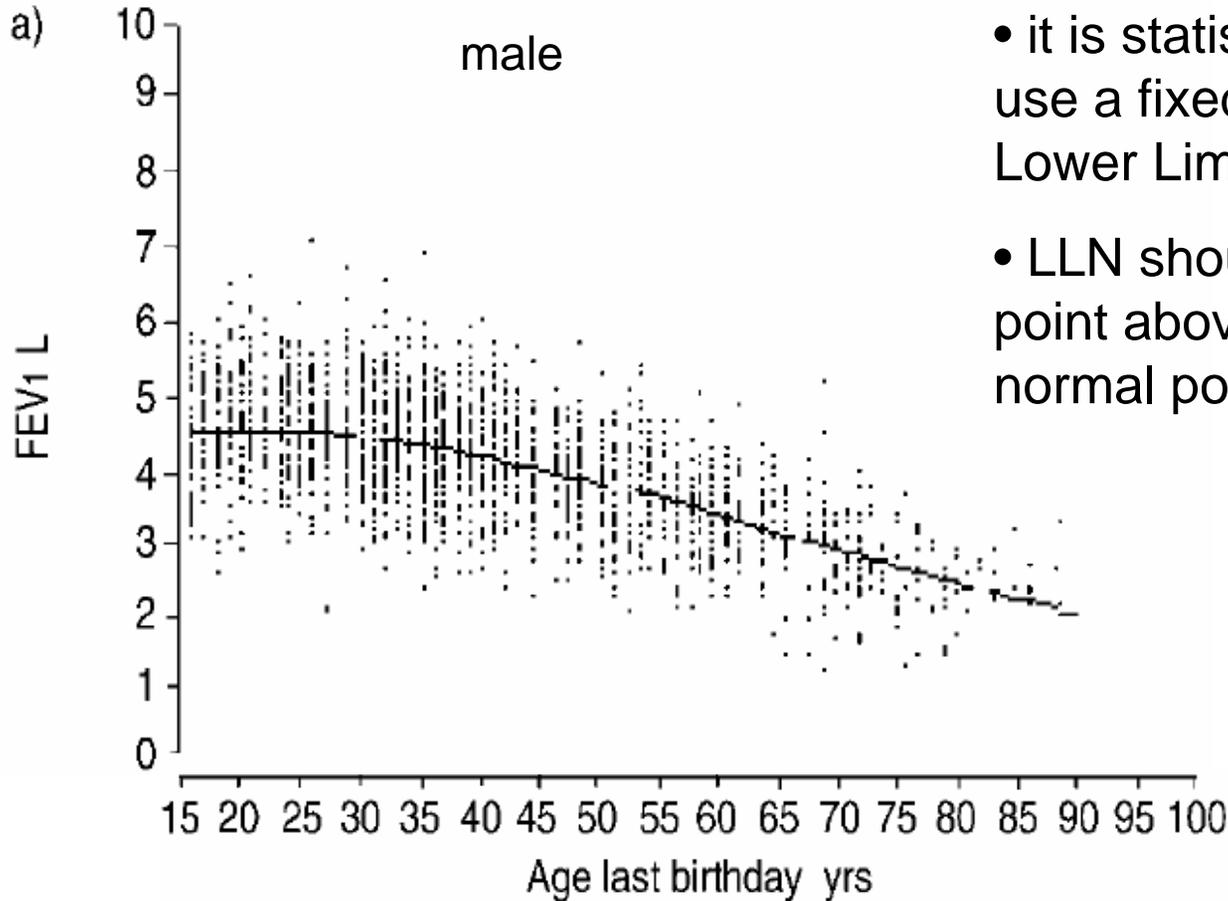
# Predicted values

- cannot say that a result is 'normal', only that it is 'within normal limits' since we do not usually know an individual's starting point



- it is more valuable to compare results with previous tests than with predicted values

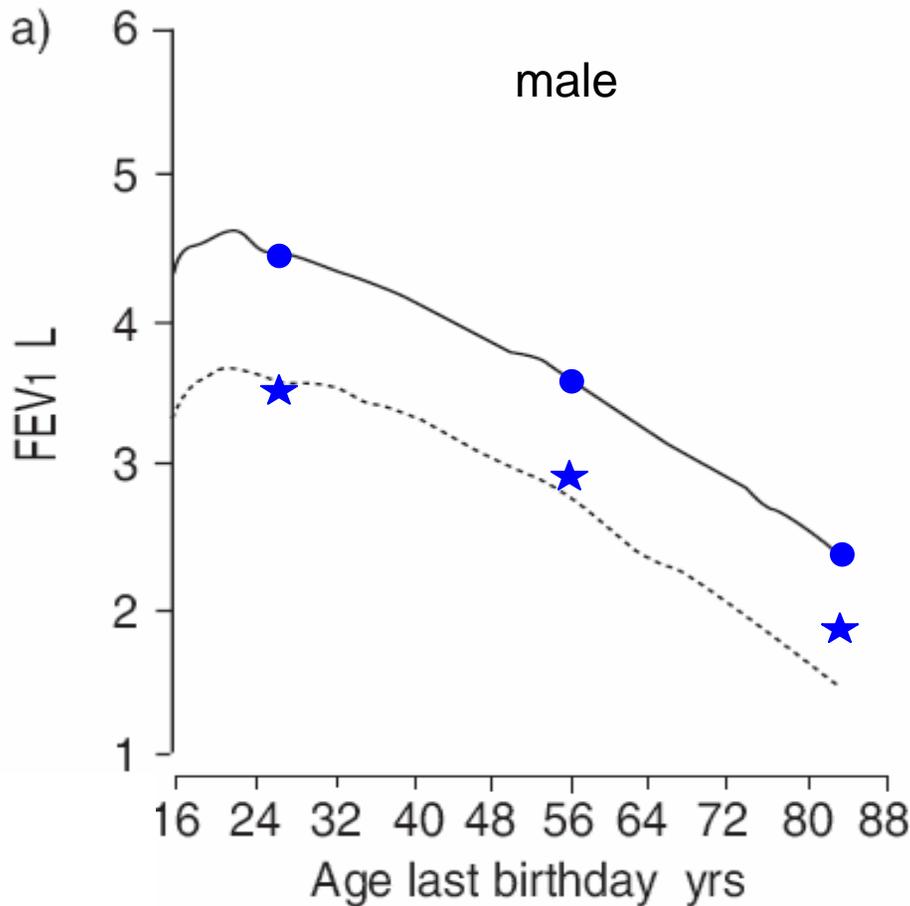
# Predicted normal values



- it is statistically erroneous to use a fixed % to define the Lower Limit of Normal (LLN)
- LLN should be defined as the point above which 95% of the normal population lay

\*E. Falaschetti et al. *Prediction equations for normal and low lung function from the Health Survey for England*. Eur. Respir. J., 2004.

# Ranges of normality – FEV1

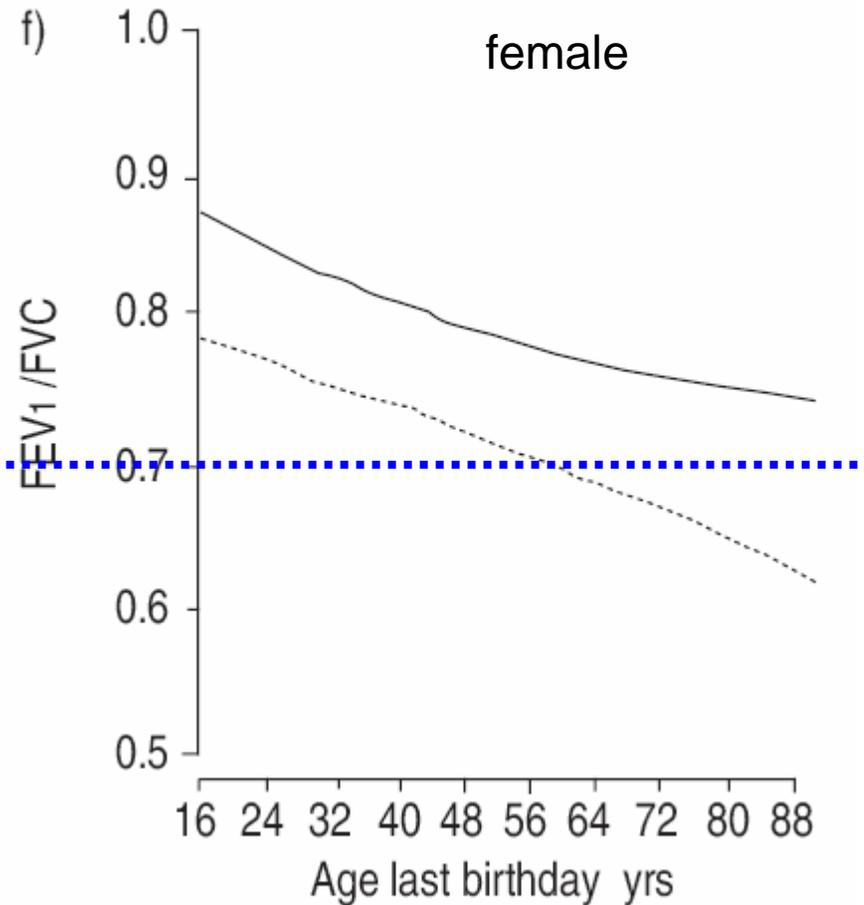
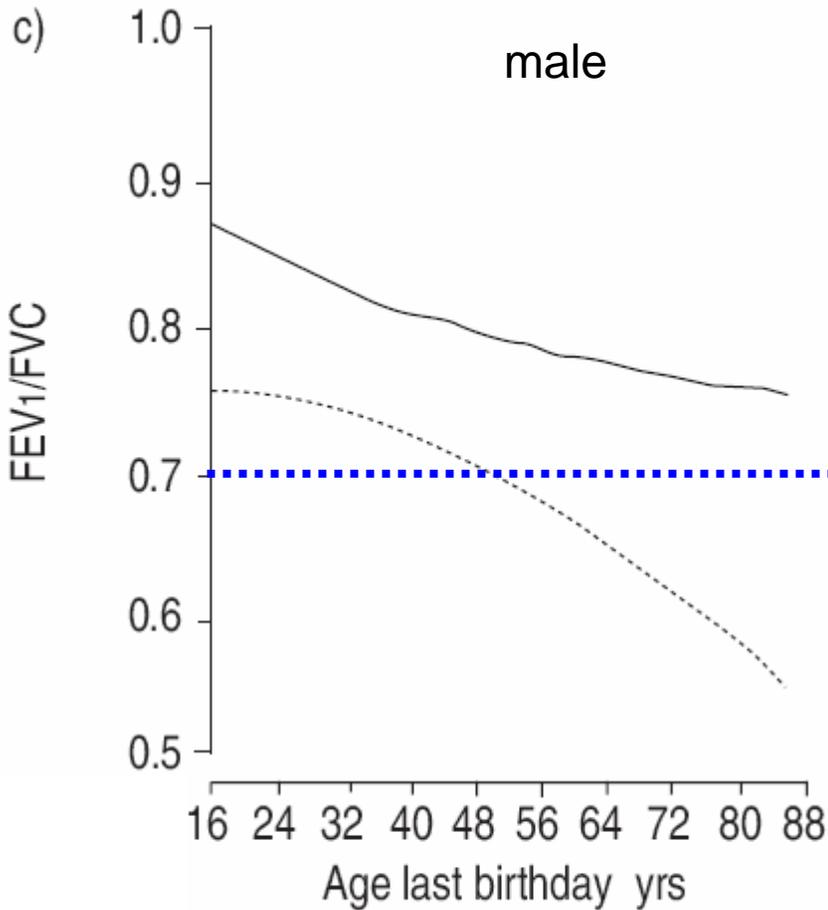


- 20% below the mean predicted value as LLN causes overestimation of disease in elderly

- Mean predicted value
- ★ Mean - 20%

From Falaschetti et al. Eur. Respir. J., 2004.

# Ranges of normality - FER



From Falaschetti et al. Eur. Respir. J., 2004.

# Severity scaling

- Reduced FEV1/FVC (FER) defines airflow obstruction
  - Not  $FER < 70\%$ , but  $FER < LLN$
- Severity of obstruction previously characterised using FER
- Latest ATS/ERS recommendations\*:
  - Severity characterised by FEV1 as % of predicted
  - This applies to restrictive disease as well

\*R. Pellegrino et al. Interpretative strategies for lung function tests. Eur. Respir. J., 2005.

# Severity scaling\* - ATS/ERS consensus

**FER < LLN**

**Airflow obstruction**

FEV1%  $\geq$  70

Mild

60  $\leq$  FEV1% < 70

Moderate

50  $\leq$  FEV1% < 60

Moderately severe

35  $\leq$  FEV1% < 50

Severe

FEV1% < 35

Very severe

\*R. Pellegrino et al. Interpretative strategies for lung function tests. Eur. Respir. J., 2005.

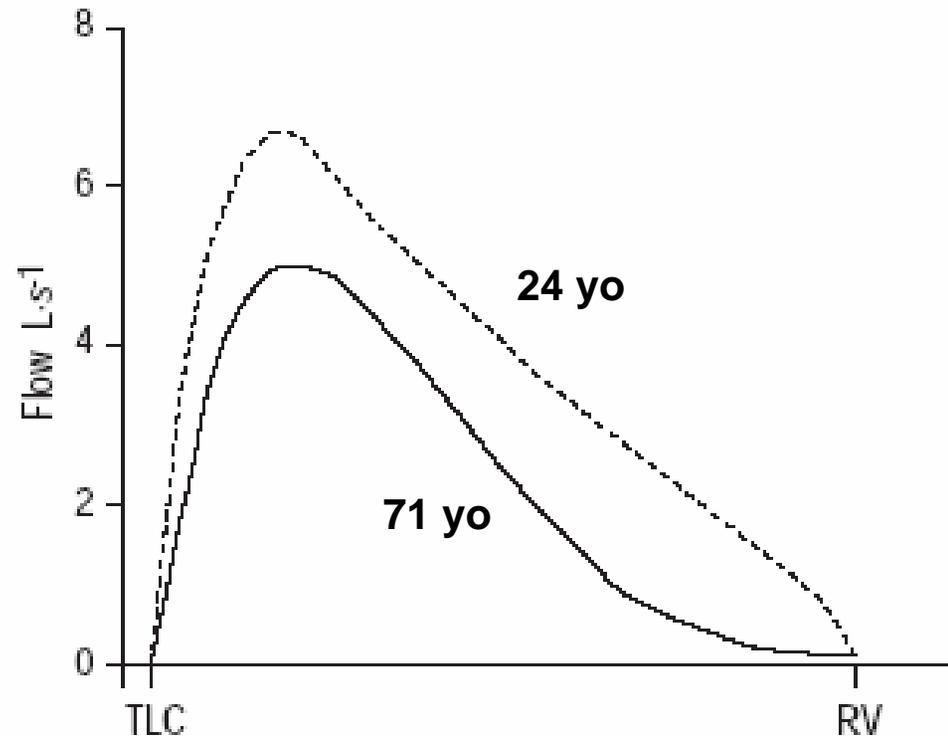
# Bronchodilator response

- Measured to determine if bronchospasm is contributing to airflow obstruction
- Significant response is improvement:
  - of  $> 200\text{ml}$ , and
  - of  $> 12\%$  of baseline value
  - in FEV1 **or** FVC
- PF, MMEF, FER are not useful in defining BD response
- Absence of response does not infer lack of
  - bronchial responsiveness
  - potential therapeutic benefit

\*R. Pellegrino et al. Interpretative strategies for lung function tests. Eur. Respir. J., 2005.

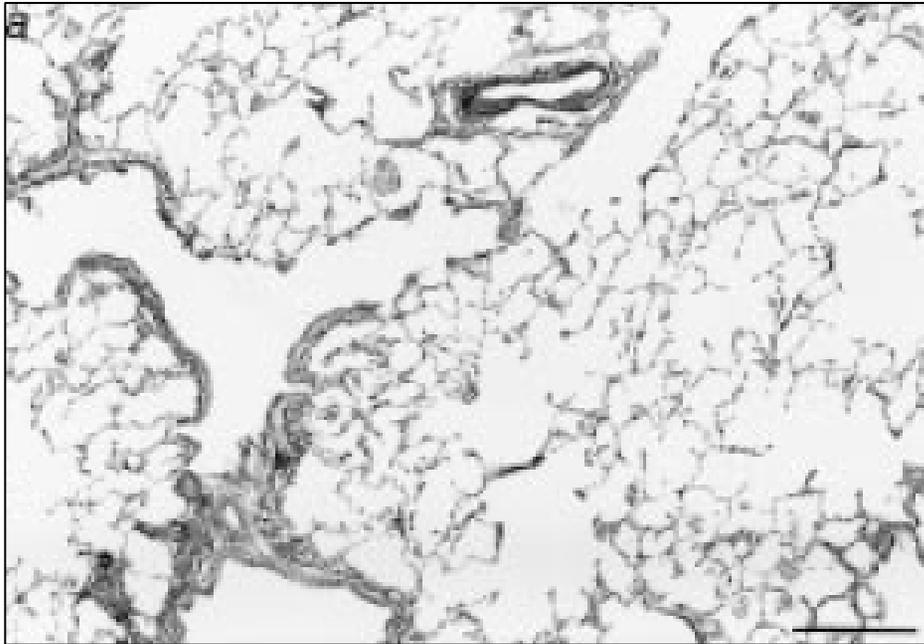
# Flow Volume shape

- Normal shape is a triangle on a semi circle
- AO reduces flowrates, so get concavity in expiratory curve
- Convexity expected in younger patients, some concavity in older

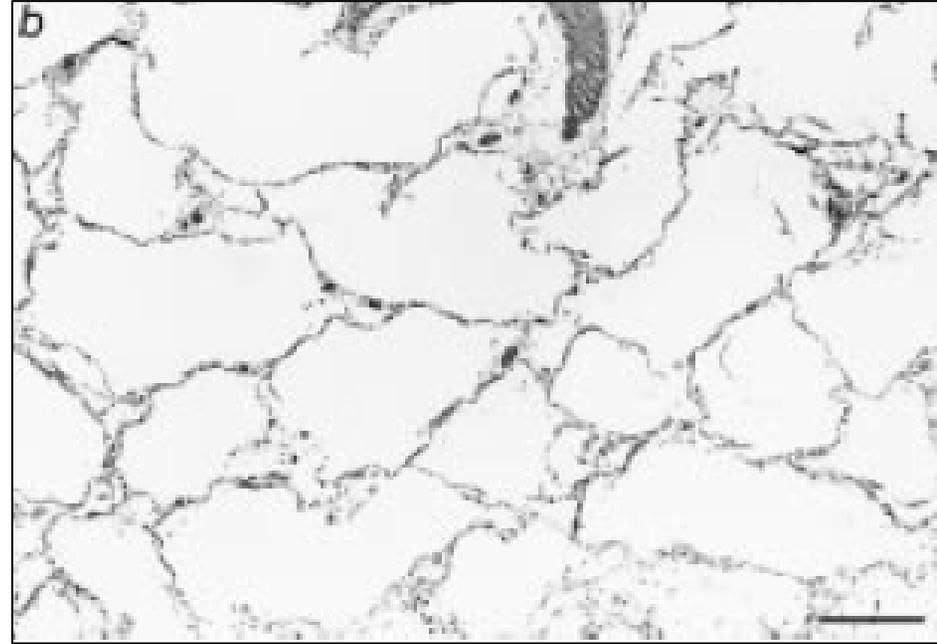


From RW Fowler et al. Thorax, 1987

# Lung parenchyma and aging



29 yo non-smoker

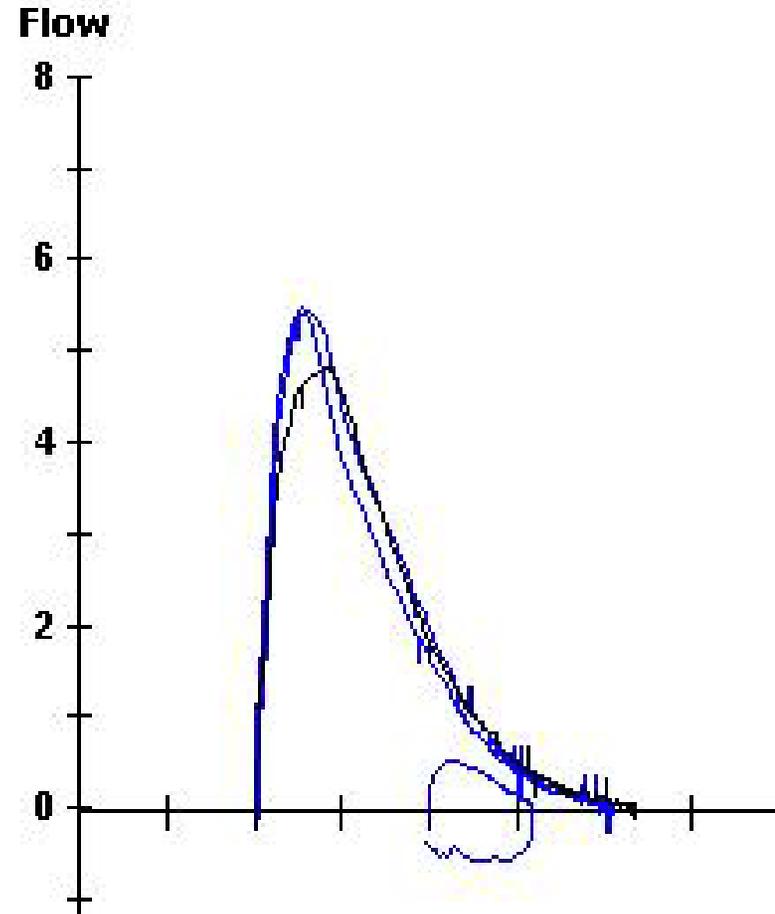


100 yo non-smoker

# Flow Volume shape

- 66 yo male, never smoker with exertional dyspnoea
- 155cm, 62kg, BMI=25.8

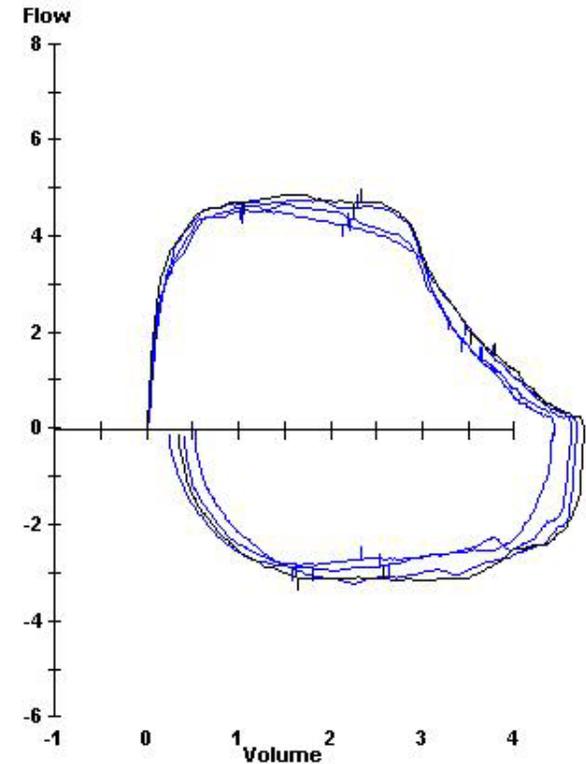
	<i>Normal Range</i>	<i>Result</i>	<i>(%mean pred.)</i>
<i>FEV1</i>	> 1.18	<b>1.59</b>	<b>77%</b>
<i>FVC</i>	> 1.71	<b>2.21</b>	<b>82%</b>
<i>FEF<sub>25-75</sub></i>	> 1.2	<b>1.4</b>	<b>46%</b>
<i>FER</i>	> 70	<b>72%</b>	



# Flow Volume shape

- 41 yo female with exertional dyspnoea and wheeze
- 164cm, 61kg, BMI=22.5

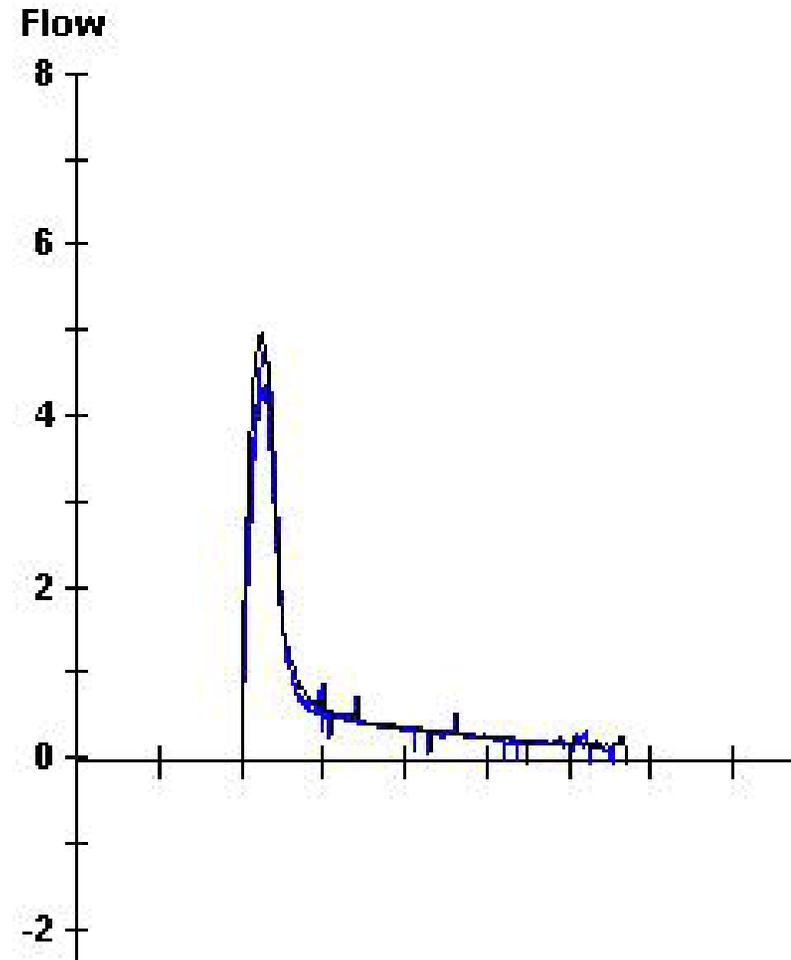
	<i>Normal Range</i>	<i>Result</i>	<i>(%mean pred.)</i>
<i>FEV1</i>	> 2.06	<b>3.43</b>	<b>124%</b>
<i>FVC</i>	> 2.54	<b>4.50</b>	<b>133%</b>
<i>FEF<sub>25-75</sub></i>	> 1.2	<b>2.9</b>	<b>80%</b>
<i>FER</i>	> 72	<b>74%</b>	



# Gas Trapping - Slow v. forced vital capacity

- In normal subjects, SVC = FVC
- In airflow obstruction, SVC is commonly > FVC

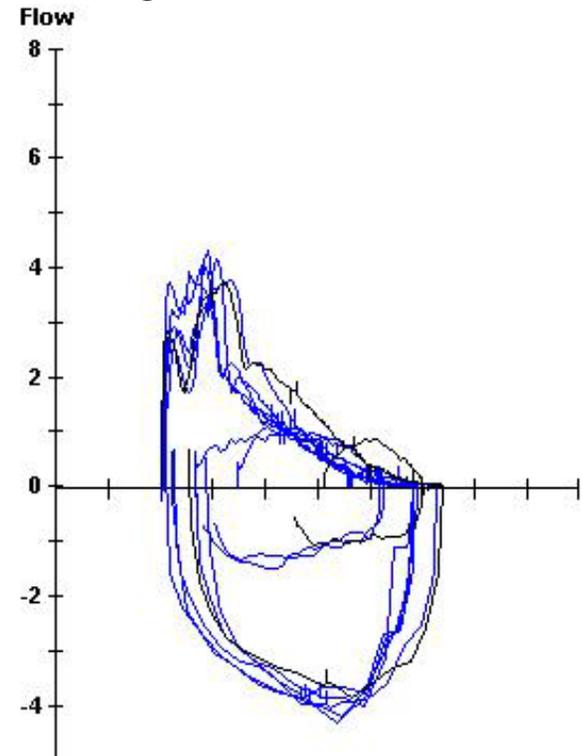
	<i>Normal Range</i>	<i>Result</i>	<i>(%mean pred.)</i>
<i>FEV1</i>	> 2.29	<b>0.74</b>	<b>23%</b>
<i>FVC</i>	> 3.03	<b>2.36</b>	<b>59%</b>
<i>VC</i>	> 3.03	<b>2.89</b>	<b>72%</b>
<i>FER</i>	> 71	<b>26%</b>	



# Site of obstruction – Raw, Sgaw

- An increased Raw indicates obstruction of the larger airways
- 78yo with COPD, asbestos exposure, thyroid goitre

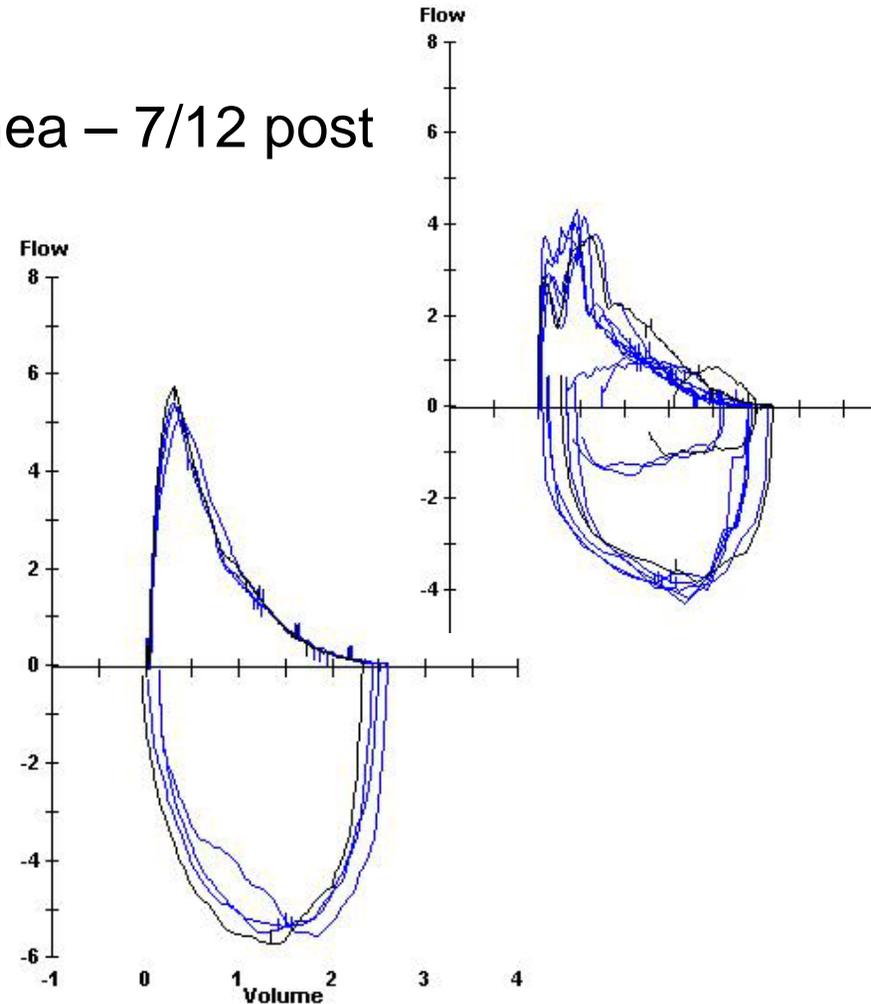
	<i>Normal Range</i>	<i>Result</i>	<i>(%mean pred.)</i>
<i>FEV1</i>	> 1.61	<b>1.71</b>	<b>68%</b>
<i>FVC</i>	> 2.30	<b>2.65</b>	<b>80%</b>
<i>FER</i>	>67	<b>65</b>	
<i>Raw</i>	0.5 – 2.0	<b>3.8</b>	



# Site of obstruction – Raw, Sgaw

- Thyroid goitre compressing trachea – 7/12 post surgery

	<i>Normal Range</i>	<i>21/1/04</i>	<i>16/11/04</i>
<i>FEV1</i>	> 1.61	<b>1.71</b>	<b>1.65</b>
<i>FVC</i>	> 2.30	<b>2.65</b>	<b>2.60</b>
<i>FER</i>	>67	<b>65</b>	<b>63</b>
<i>Raw</i>	0.5 – 2.0	<b>3.8</b>	<b>2.9</b>



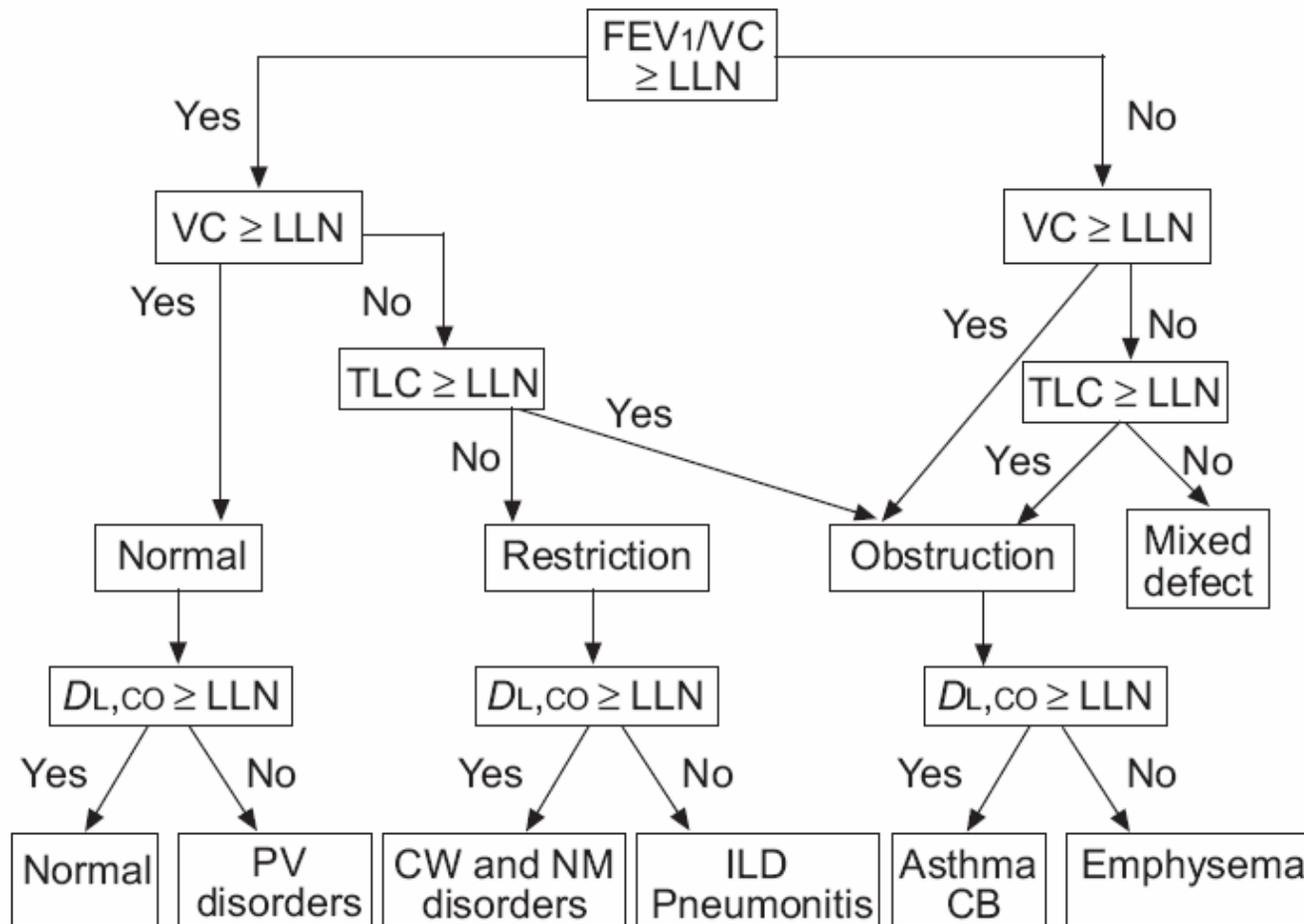
# Site of obstruction – FOT and other goodies

- Forced oscillation technique (FOT):
  - Assesses total respiratory resistance (includes resistance offered by airways, lung tissue and chest wall)
  - Non-invasive assessment requiring little cooperation
  - Useful in early detection of airflow obstruction and in assessment of BHR
- Nasal resistance
  - May be important in OSA
  - Can characterise fixed versus functional using oxymetazaline (vasoconstrictor)

# Interpretative Strategies – standardized approaches

- Automated algorithms appeared in early 1970s
- Coincided with appearance of computers rather than as effort for standardization
- Little consensus from learned societies until 2005  
ATS/ERS statement

# Interpretative flowchart – ERS/ATS consensus



R. Pellegrino et al. Interpretative strategies for lung function tests. Eur. Respir. J., 2005.

# Interpretative Strategies – General approach

- Look at demographics for unusual features
  - Height
  - Age
  - BMI
- Look at technical comment, clinical notes
- Assess flow volume shape for specific patterns
- Look at values and compare with normal ranges and previous results
- Make general descriptive comment
- Add clinical interpretation – must answer question asked.

## Practice Exam Question 52

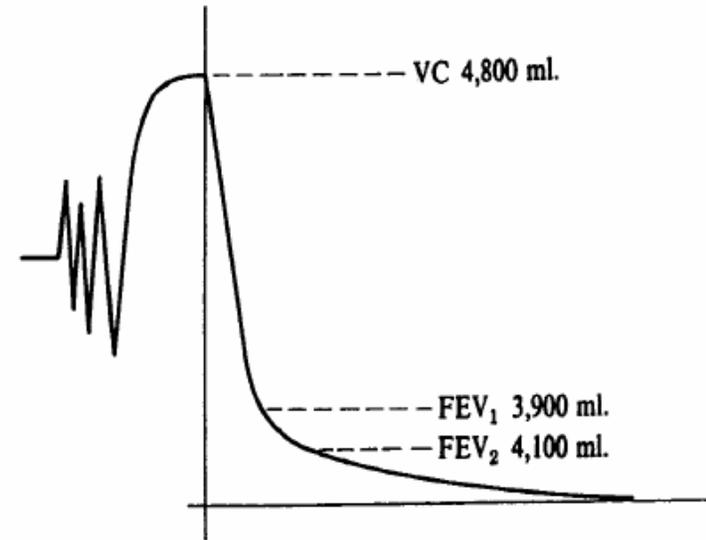
A patient is noted to have a reduced FEF25-75% and FEV1. The FVC and Raw are normal. Which of the following best explains these findings?

- A the site of dysfunction is in the peripheral airways
- B a fixed upper airway obstruction is present
- C there is decreased chest wall compliance
- D there was submaximal effort during the Raw measurement

# Practice Exam Question 57

The spirogram was obtained from a 35 yo male who smokes 2 packs/day and frequently experiences morning cough. Which of the following can be concluded:

- A The FEV<sub>1</sub>/FVC ratio is within the normal range
- B the curve represents obstructive lung disease
- C a combined obstructive and restrictive defect is present
- D an advanced stage of restrictive defect is present



# Practice Exam Question 58

A 35 year old, 183 cm male complaining of shortness of breath with exercise has the following spirometry data:

$$VC = 4.6 \text{ L}$$

$$FEV1 = 2.1 \text{ L}$$

$$FVC = 3.6 \text{ L}$$

$$FEF_{25-75\%} = 2.5 \text{ L/sec}$$

Which of the following tests would be indicated as the next step in evaluation of the patient's problem?

- A measure RV/TLC ratio
- B exercise induced asthma study
- C bronchodilator response
- D single breath DLCO

# Practice Exam Question 59

Correct conclusions about a 180cm, 40 years old male subject who has an FEV1/FVC ratio of 65% include which of the following?

- 1 his FEV1/FVC ratio is below normal
- 2 he has obstructive lung disease
- 3 he has restrictive lung disease
- 4 he has diffusion impairment

- A 1 only
- B 3 only
- C 1, 2 and 4
- D 1 and 2

# Practice Exam Question 60

A patient has an FEV1 of 1.91 litres (52% of the predicted value). Which of the following might result in this low value:

- 1 small airways obstruction
- 2 hesitation at the start of test
- 3 restriction due to fibrosis
- 4 an FVC greater than predicted

- A 1, 2, 3 and 4
- B 1, 3 and 4
- C 1, 2 and 3
- D 2 and 4